

01 **UTILITY  
PATENT APPLICATION  
TRANSMISSION**

for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	P21330	Total Pages	1
Inventor(s) or Application Identifier		Toshiro TSUCHIDA and Takatsugu NAKAZAWA	
Title: TURN BASED VIDEO GAME THAT DISPLAYS CHARACTER BATTLE SEQUENCE			

1129  
10/28/01  
U.S. PATENT & TRADEMARK OFFICE  
ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

10/28/01  
JC714 S-964801  
09/28/01

APPLICATION ELEMENTS		ACCOMPANYING APPLICATION PARTS
<p><input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>a. <input type="checkbox"/> Small Entity Statement(s)</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 52] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R &amp; D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p><input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 7]</p> <p><input checked="" type="checkbox"/> Oath or Declaration [Total Pages 3] a. <input type="checkbox"/> Newly executed (original or copy) <input checked="" type="checkbox"/> Unexecuted b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) [Note Box 6 below] i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)</p>		<p>9. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>10. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>12. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>14. <input type="checkbox"/> Preliminary Amendment</p> <p>15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>16. <input checked="" type="checkbox"/> Figure of Drawing to be published_2</p> <p>17. <input checked="" type="checkbox"/> Foreign priority claimed a. <input checked="" type="checkbox"/> Claim of Priority b. <input type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>18. <input checked="" type="checkbox"/> Assignee: <u>SQUARE CO., LTD., of Tokyo, JAPAN</u></p> <p>19. <input checked="" type="checkbox"/> Other: <u>Cover Letter under 37 C.F.R. 1.53(b) and 1.53(f)</u> _____ _____ _____</p>
<p>20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. _____ / _____, filed _____.  <i>Prior application information: Examiner: _____ Group Art Unit: _____</i></p> <p>21. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence:      This application is a _____ continuation-in-part, _____ continuation, _____ divisional, of Application No. _____ / _____, filed _____.</p>		

Address all future correspondence to **Customer No. 7055** at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191

9/28/01  
Date



**007055**

PATENT AND TRADEMARK OFFICE

*Leslie J. Bernstein Reg. No. 29,027*  
Signature  
33,329

Bruce H. Bernstein, Reg. No. 29,027  
Typed or Printed Name

# FEE TRANSMITTAL

Complete if Known	
Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	T. TSUCHIDA et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned

## TOTAL AMOUNT OF PAYMENT

(\$1,812.00)

Attorney Docket Number P21330

## METHOD OF PAYMENT (check one)

## FEE CALCULATION (continued)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-0089

Deposit Account Name GREENBLUM & BERNSTEIN, P.L.C.

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))  Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)  Applicant Claims Small Entity Status See 37 CFR 1.27.

2.  Payment Enclosed:

Check  Credit Card  Money Order  Other

## FEE CALCULATION (fees effective 12/29/99)

### 1. FILING FEE

Large Entity Fee Code	Entity Fee (\$)	Small Entity Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	<u>710.00</u>
102	320	206	160	Design filing fee	<u> </u>
107	490	207	245	Plant filing fee	<u> </u>
108	710	208	355	Reissue filing fee	<u> </u>
114	150	214	75	Provisional filing fee	<u> </u>

**SUBTOTAL (1) (\$1,710.00)**

### 2. CLAIMS

Total Claims	-20=	Extra	Fee from below	=	Fee Paid
59	-20=	<u>39</u>	<u>x 18</u>	=	<u>702.00</u>
Independent 8	-3=	<u>5</u>	<u>x 80</u>	=	<u>400.00</u>

Claims Multiple Dependent Claims   x   =   0

Large Entity Fee Code	Entity Fee (\$)	Small Entity Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$1,102.00)**

### 3. ADDITIONAL FEES

Large Entity Fee Code	Entity Fee (\$)	Small Entity Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	<u> </u>
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	<u> </u>
139	130	139	130	Non-English specification	<u> </u>
147	2,520	147	2,520	For filing a request for reexamination	<u> </u>
112	920*	112	920*	Requesting publication of SIR	<u> </u>
113	1,840*	113	1,840*	Prior to Examiner action	<u> </u>
				Requesting publication of SIR after	<u> </u>
115	110	215	55	Examiner action	<u> </u>
116	390	216	195	Extension for response within 1st month	<u> </u>
				Extension for response within 2nd month	<u> </u>
117	890	217	445	Extension for response within 3rd month	<u> </u>
118	1,390	218	695	Extension for response within 4th month	<u> </u>
128	1,890	228	945	Extension for response within 5th month	<u> </u>
119	310	219	155	Notice of Appeal	<u> </u>
120	310	220	155	Filing a brief in support of an appeal	<u> </u>
121	270	221	135	Request for oral hearing	<u> </u>
138	1,510	138	1,510	Petition to institute a public use proceeding	<u> </u>
				Petition to revive unavoidably abandoned application	<u> </u>
141	1,240	241	620	Petition to revive unintentionally abandoned application	<u> </u>
142	1,240	242	620	Utility issue fee (or reissue)	<u> </u>
143	440	243	220	Design issue fee	<u> </u>
144	600	244	300	Plant issue fee	<u> </u>
122	130	122	130	Petitions to the Commissioner	<u> </u>
123	50	123	50	Petitions related to provisional applications	<u> </u>
126	240	126	240	Submission of IDS	<u> </u>
581	40	581	40	Recording each patent assignment per property (times number of properties)	<u> </u>
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	<u> </u>
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	<u> </u>
179	710	279	355	Request for Continued Examination (RCE)	<u> </u>
169	900	169	900	Request for expedited examination of a design application	<u> </u>
				Other fee (specify) _____	<u> </u>
				Other fee (specify) _____	<u> </u>

**SUBTOTAL (3) (\$0.00)**

\*Reduced by Basic Filing Fee paid

## SUBMITTED BY

Complete (if applicable)

Typed or Printed Name

Bruce H. Bernstein

Reg. Number

29,027

Signature

*Leslie Rappaport*

Date

9/28/01

Deposit Account User ID

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : T. TSUCHIDA et al.

Serial No : Not Yet Assigned

Filed : Concurrently Herewith

For : TURN BASED VIDEO GAME THAT DISPLAYS CHARACTER BATTLE  
SEQUENCE

COVER LETTER ACCOMPANYING U.S. PATENT APPLICATION  
FILED UNDER 37 C.F.R. 1.53(b)and 1.53(f)

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Enclosed is a new patent application for filing in the U.S. Patent and Trademark Office under 37 C.F.R. 1.53(b)and 1.53(f) in which the Declaration and Power of Attorney attached thereto are in unexecuted form. An executed Declaration and Power of Attorney will be filed within the time period set forth in the Notice to File Missing Parts of Application, unless such time period has been extended by the filing of a petition accompanied by the extension fee under the provisions of 37 C.F.R. 1.136(a).

Related to this, a correspondence address is provided in the unexecuted Declaration and Power of Attorney, and is as follows:

GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, Va. 20191

The above-identified application includes:

- 52 pages of specification (including Abstract);
- 59 total claims; with 8 independent;
- 7 sheets of drawings with 11 figures;
- an unexecuted Declaration and Power of Attorney.

If there are any questions, please contact the undersigned at the below-listed telephone number.

Respectfully submitted,  
T. TSUCHIDA et al.

*Bruce H. Bernstein* Reg. No.  
Bruce H. Bernstein 33,329  
Reg. No. 29,027

September 28, 2001  
GREENBLUM & BERNSTEIN, P.L.C.  
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SEARCHED INDEXED  
SERIALIZED FILED  
SEP 28 2001  
U.S. PATENT AND TRADEMARK OFFICE